

<< LETTERHEAD >>  
<< Original holder of the tax credits >>

**[Review Alabama Code Section 40-14B-20 and Alabama Administrative Rule 281-2-1.08; then complete this document to notify the Alabama Department of Commerce of the transfer within 30 days.]**

<< DATE >>

Alabama Department of Commerce  
ATTN: CAPCO Administrator  
401 Adams Avenue, 6<sup>th</sup> Floor  
Montgomery, AL 36104

RE: Certified Capital Company Program; Notice of Tax Credit Transfer

To Whom It May Concern:

This letter serves as notice to the Alabama Department of Commerce of the transfer of tax credits under the Alabama Certified Capital Company Program, pursuant to Alabama Code Section 40-14B-20 and Alabama Administrative Rule 281-2-1.08. Administrative Rule 281-2-1.08(6) requires that a transferor of any tax credit must provide notice of such transfer within thirty days to the Alabama Department of Commerce including the name of the new holder of the tax credits, the amount of the credits transferred, the price of the credits, the date the transfer occurred, the tax identification number of the recipient, and the remaining available credit balance held by the transferor. Following is the required information:

CAPCO Holding the Funds:	
Date of Transfer:	
Transferor:	
Transferee:	
Transferee TIN:	
Transferee's AM Best Rating:	
Credits Transferred:	
Price of Credits:	
Transferor's Retained Credit Balance	

For questions about this notice, please contact the undersigned at

Signature: \_\_\_\_\_

Print Name: \_\_\_\_\_

Company: \_\_\_\_\_

Phone/Email: \_\_\_\_\_

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FOR DEPT OF COMMERCE USE ONLY

Receipt of notification for the transfer of tax credits under the Alabama Certified Capital Company Program is hereby acknowledged on this date, \_\_\_\_\_.

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Angela C. Till  
CAPCO ADMINISTRATOR