ALABAMA WORKFORCE INVESTMENT SYSTEM

Office of Workforce Development
401 Adams Avenue
Post Office Box 5690
Montgomery, Alabama 36103-5690

November 29, 2011

GOVERNOR'S WORKFORCE DEVELOPMENT DIRECTIVE NO. PY2010-11, Change 3

SUBJECT: Program Year 2011/Fiscal Year 2012 Workforce Investment Act (WIA) Adult, and Dislocated Worker Allocation Revisions

1. **Purpose.** This Directive transmits the revision of Fiscal Year (FY) 2012 funding levels available October 2011 for Workforce Investment Act (WIA) Programs and clarifies the level of funding for Governor's Reserve.

2. **Discussion.** Training and Employment Guidance Letter (TEGL) No. 9-11 transmits notice of recent Congressional action which resulted in the revisions to the Fiscal Year (FY) 2012 "Advance" Funding Level for WIA, which became available October 1, 2011. In addition to the 1.503 temporary rate of operations reduction included in the short-term Continuing Appropriations Act of 2012, this TEGL clarifies that the Governor's Reserve is set at five percent for all Performance Year 2011 WIA funds.

   The adjusted Allocations for each local area are attached for your convenience. Please note that while the total allocation received from the USDOL was reduced, each local area's total allocation has increased. This increase is due to the limitation restriction of five percent for Governor's Reserve.

3. **Action**. Attachment 1 to this Directive Change reflects the reduction of $167,829 FY12 Adult funds and a reduction of $196,040 in FY12 Dislocated Worker funds. Local workforce investment areas should modify their local area plan budgets and provide them to Ms. Sara Calhoun in the Workforce Development Division by Friday, December 16, 2011.

4. **Contact.** Any questions should be referred to Melody Koorangi, Program Integrity Section, at (334) 242-5175 or submit your inquiries to melody.koorangi@adeca.alabama.gov.

Steve Walkley, Division Director/Date
Workforce Development Division

Attachments:

1: Local Area Planning Allocation for Program Year 2011
2: Training and Employment Guidance Letter (TEGL) No. 9-11

EMPLOYMENT AND TRAINING ADMINISTRATION ADVISORY SYSTEM U.S. DEPARTMENT OF LABOR Washington, D.C. 20210	CLASSIFICATION WIA/Wagner-Peyser
	CORRESPONDENCE SYMBOL OWI
	DATE October 26, 2011

ADVISORY: TRAINING AND EMPLOYMENT GUIDANCE LETTER NO. 9-11

TO: ALL STATE WORKFORCE AGENCIES
ALL STATE WORKFORCE LIAISONS

FROM: JANE OATES /s/
Assistant Secretary

SUBJECT: Revised Fiscal Year (FY) 2012 "Advance" Funding Levels Available October 2011 for Workforce Investment Act (WIA) Programs and Clarification on the Level of Governor's Reserve

1. **Purpose.** Per recent Congressional action, this Training and Employment Guidance Letter (TEGL) has two purposes:

   a) To provide states with revised allotment levels for the WIA Adult and Dislocated Worker Fiscal Year 2012 "advance" funds which become available to states in October 2011. The revised levels are based on the 1.503 temporary rate of operations reduction included in the short-term Continuing Appropriations Acts of 2012.

   b) To clarify that the Governors' Reserve is set at *five* percent for all Performance Year 2011 WIA funds.

2. **References.**
   - Continuing Appropriations Act, 2012, Public Law (P.L.) 112-33, Sections 101, 115, and 133, enacted September 30, 2011, and Continuing Appropriations Act, 2012, P.L. 112-36, Sections 101, 115, and 133, enacted October 5, 2011
   - Training and Employment Guidance Letter (TEGL) 26-10 (May 10, 2011)
   - Full-Year Continuing Appropriations Act, 2011, P.L. 112-10, Division B, Title I, Section 1101, 1104, and 1119, and Section 1801 of Title VIII, signed April 15, 2011
   - Department of Labor Appropriations Act, 2010, P.L. 111-117, Division D, Title I
   - Training and Employment Guidance Letter (TEGL) 23-10 (May 3, 2011)
   - Workforce Investment Act of 1998, (29 United States Code (U.S.C) 2801 et seq.), Public Law 105-220, as amended
   - Wagner-Peyser Act, as amended (29 U.S.C. 49 et seq.)
   - WIA Final Rule, 20 Code of Federal Regulations parts 660-671
   - Planning Guidance for the Strategic State Plan for Title I of the Workforce

RESCISSIONS	EXPIRATION DATE
None	Continuing

Investment Act of 1998 and the Wagner-Peyser Act [(73 FR 72853) (Dec. 1, 2008)] (OMB No. 1205-0398)

3. **Background**. TEGL 26-10, issued May 10, 2011, announced Program Year (PY) 2011 WIA allotments to states. In this Change 1 TEGL, we inform states that recent Congressional actions, contained in the two short-term Continuing Appropriations Acts of 2012, P.L. 112-33 and P.L. 112-36, have caused the Employment and Training Administration to adjust some of these initial figures. It should be noted that there were no changes made to the WIA Youth Activities funds (available April 1, 2011), nor to the "base" portion of funding for Adult and Dislocated Worker activities that were made available July 1, 2011. However, the short-term Continuing Appropriations Acts put into effect a temporary rate of operations reduction (1.503 percent) for the FY 2012 "advance" WIA Adult and Dislocated Worker program funds (see sections 101 and 115 of both Acts). The revised allotment levels are listed in the attachments to this TEGL.

   Additionally, in TEGL 26-10 states were informed that Congress decreased the level of PY 2011 base funds that Governors may reserve for statewide activities by 10 percentage points, from 15 percent to 5 percent. At that time there was some uncertainty whether this reduction also would apply to the FY 2012 "advance" portion of Adult and Dislocated Worker PY 2011 funding which typically becomes available in October 2011. Pursuant to section 133 in each of the Continuing Appropriations Acts of 2012, this TEGL clarifies that **the reduction in the Governors' Reserve does apply to all ("base" *and* "advance") PY 2011 funds.**

4. **Distribution of WIA Funds in PY 2011.**

   a) Within State Allocations of ***Adult*** and ***Youth*** Allotments. The distribution of the PY 2011 allotments must conform with the requirement that:
      1) A minimum of 95 percent of each state's revised Adult allotment will be allocated to local area activities; and
      2) A maximum of 5 percent of each state's revised Adult allotment may be used for statewide activities as authorized under WIA.

   b) Within State Allocations of ***Dislocated Worker*** Allotments. The distribution of the PY 2011 allotments must conform with the requirement that:
      1) A minimum of 70 percent of each state's revised dislocated worker allotment will be allocated to local areas;
      2) A maximum of 5 percent of each state's revised dislocated worker allotment may be used for statewide activities as authorized under WIA; and
      3) A maximum of 25 percent of each state's revised dislocated worker allotment may be used for statewide rapid response activities as authorized under WIA.

The following table summarizes this information to show the formula breakdown for PY 2011 WIA funds:

	WIA Youth	WIA Adult	WIA Dislocated Worker
Statewide Activities (including State Admin)	Maximum 5%	Maximum 5%	Maximum 5%
Local Allocations	Minimum 95%	Minimum 95%	Minimum 70%
Rapid Response	-	-	Maximum 25%

Regarding funds set aside for statewide activities, states are authorized to reserve for state administration no more than five percent of each of the total allotments for WIA Youth, Adult, and Dislocated Worker programs

5. **Guidance on the Funding of Statewide Activities.** Over the past several months, states have been anticipating how the reductions in the Governor's Reserve would impact the state's ability to carry out required statewide workforce investment activities as described in WIA Section 134(a)(2)(B) and 20 C.F.R. 665.200 (b)-(i). States must continue to make investments in statewide activities central to state management of funds such as,

   - operating fiscal and management accountability information systems (WIA Section 134(a)(2)(B)(vi) and 20 C.F.R. 665.200(b)(1)),
   - submitting required reports (WIA Section 136(f)),
   - disseminating the list of eligible training providers for adults and dislocated workers (WIA Section 134(a)(2)(B)(i) and 20 C.F.R. 665.200 (b)(1)), and youth activities (20 C.F.R. 665.200(b)(4)), and
   - providing technical assistance to poor performing local areas (WIA Section 134(a)(2)(B)(iv) and 20 C.F.R 665.200(f)).

   ETA is unlikely to approve a waiver of the above required activities. ETA realizes that some states may be unable to fund all required activities due to a lack of funding. Except for the activities listed above, States may request a waiver to exempt them from the requirement to carry out some of the required statewide activities described at 20 C.F.R. 665.200 (b)-(i) that would have been financed with PY 2011 funds.

   In particular, ETA will approve a waiver from the requirement to:

   - conduct evaluations (WIA Section 134(a)(2)(B)(ii) and 20 CFR 665.200(d)),
   - provide incentive grants to local areas (WIA Section 134(a)(2)(B)(iii) and 20 CFR 665.200(e)), and

- disseminate training provider performance and cost information (20 CFR 665.200(b)(3)).

States may use the waiver templates provided in Attachment III if they wish to request any of the above waivers.

For other required statewide activities that states may want to waive, ETA will review and consider waiver requests on a case-by-case basis and in the context of each state's unique circumstances. These requests must include a justification that describes estimated costs to carry out the required activity for which the waiver is needed, how statewide funds are being used, and the extent to which the funding levels are insufficient to cover the activity. The request must also address the anticipated impact of not funding the activity, and whether it may directly affect WIA participant services.

States wishing to request new waivers must submit full waiver plans to ETA. The waiver plan must include all of the required elements listed in the WIA regulations at 20 CFR 661.420(c) and discussed in TEGL 26-09. States may use a submission form available at http://www.doleta.gov/waivers/pdf/waiver-template.doc which provides a description of each element and submittal instructions.

6. **Notice of Obligation (NOO).** The Department will issue a NOO with the new FY 2012 "advance" amounts for the Adult and Dislocated Worker programs. Because the temporary rate of operations reduction will be taken into account when the NOO is issued, no further accounting action is required of the state.

7. **Other Policies and Reporting Pertaining to PY 2011 Funds**. See TEGL 26-10.

8. **Inquiries.** Questions regarding these allotments may be directed to the appropriate Regional Office. Information on allotments and planning requirements may also be found on the ETA Web site at http://www.doleta.gov.

9. **Attachments.**

I. WIA Dislocated Program *Revised* FY 2012 "Advance" Allotments, Based on 1.503 Temporary Rate of Operations Reduction

II. WIA Adult Program *Revised* FY 2012 "Advance" Allotments, Based on 1.503 Temporary Rate of Operations Reduction

III. Waiver Request Template

U. S. Department of Labor
Employment and Training Administration
WIA Dislocated Worker Activities
1.503 Temporary Rate of Operations Reduction Pursuant to Short-Term Continuing Appropriations Acts, 2012
(Applicable to FY 2012 "Advance" Funds Available 10/1/11)

State	Initial Allotment			FY 2012	Revised Allotment with Rescission		
	Total	7/1/2011 (PY 2011)	10/1/2011 (FY 2012)	1.503% Rescission	Total	7/1/2011 (PY 2011)	10/1/2011 (FY 2012)
Total	**$1,287,544,000**	**$227,544,000**	**$1,060,000,000**	**($15,931,800)**	**$1,271,612,200**	**$227,544,000**	**$1,044,068,200**
Alabama	16,128,630	3,085,372	13,043,258	(196,040)	15,932,590	3,085,372	12,847,218
Alaska	1,804,590	345,214	1,459,376	(21,934)	1,782,656	345,214	1,437,442
Arizona	21,992,101	4,207,042	17,785,059	(267,309)	21,724,792	4,207,042	17,517,750
Arkansas	6,535,066	1,250,144	5,284,922	(79,432)	6,455,634	1,250,144	5,205,490
California	170,303,818	32,578,755	137,725,063	(2,070,008)	168,233,810	32,578,755	135,655,055
Colorado	13,969,269	2,672,291	11,296,978	(169,794)	13,799,475	2,672,291	11,127,184
Connecticut	12,117,862	2,318,121	9,799,741	(147,290)	11,970,572	2,318,121	9,652,451
Delaware	2,526,887	483,388	2,043,499	(30,714)	2,496,173	483,388	2,012,785
District of Columbia	2,592,780	495,993	2,096,787	(31,515)	2,561,265	495,993	2,065,272
Florida	81,270,552	15,546,882	65,723,670	(987,827)	80,282,725	15,546,882	64,735,843
Georgia	35,502,366	6,791,526	28,710,840	(431,524)	35,070,842	6,791,526	28,279,316
Hawaii	2,539,205	485,744	2,053,461	(30,864)	2,508,341	485,744	2,022,597
Idaho	4,240,518	811,202	3,429,316	(51,543)	4,188,975	811,202	3,377,773
Illinois	52,391,500	10,022,382	42,369,118	(636,808)	51,754,692	10,022,382	41,732,310
Indiana	22,971,198	4,394,341	18,576,857	(279,210)	22,691,988	4,394,341	18,297,647
Iowa	6,222,410	1,190,334	5,032,076	(75,632)	6,146,778	1,190,334	4,956,444
Kansas	5,780,312	1,105,761	4,674,551	(70,259)	5,710,053	1,105,761	4,604,292
Kentucky	14,985,351	2,866,666	12,118,685	(182,144)	14,803,207	2,866,666	11,936,541
Louisiana	8,768,499	1,677,395	7,091,104	(106,579)	8,661,920	1,677,395	6,984,525
Maine	3,599,239	688,527	2,910,712	(43,748)	3,555,491	688,527	2,866,964
Maryland	14,302,198	2,735,980	11,566,218	(173,840)	14,128,358	2,735,980	11,392,378
Massachusetts	21,065,395	4,029,765	17,035,630	(256,046)	20,809,349	4,029,765	16,779,584
Michigan	51,285,260	9,810,760	41,474,500	(623,362)	50,661,898	9,810,760	40,851,138
Minnesota	12,889,304	2,465,696	10,423,608	(156,667)	12,732,637	2,465,696	10,266,941
Mississippi	10,150,118	1,941,696	8,208,422	(123,373)	10,026,745	1,941,696	8,085,049
Missouri	19,187,040	3,670,440	15,516,600	(233,214)	18,953,826	3,670,440	15,283,386
Montana	2,047,301	391,644	1,655,657	(24,885)	2,022,416	391,644	1,630,772
Nebraska	2,059,689	394,014	1,665,675	(25,035)	2,034,654	394,014	1,640,640
Nevada	14,332,064	2,741,693	11,590,371	(174,203)	14,157,861	2,741,693	11,416,168
New Hampshire	2,764,686	528,879	2,235,807	(33,604)	2,731,082	528,879	2,202,203
New Jersey	32,250,359	6,169,425	26,080,934	(391,996)	31,858,363	6,169,425	25,688,938
New Mexico	5,179,814	990,887	4,188,927	(62,960)	5,116,854	990,887	4,125,967
New York	55,889,913	10,691,620	45,198,293	(679,330)	55,210,583	10,691,620	44,518,963
North Carolina	35,096,512	6,713,887	28,382,625	(426,591)	34,669,921	6,713,887	27,956,034
North Dakota	499,920	95,634	404,286	(6,076)	493,844	95,634	398,210
Ohio	44,079,882	8,432,387	35,647,495	(535,782)	43,544,100	8,432,387	35,111,713
Oklahoma	6,917,377	1,323,279	5,594,098	(84,079)	6,833,298	1,323,279	5,510,019
Oregon	15,077,317	2,884,258	12,193,059	(183,262)	14,894,055	2,884,258	12,009,797
Pennylvania	37,972,551	7,264,068	30,708,483	(461,549)	37,511,002	7,264,068	30,246,934
Puerto Rico	13,696,022	2,620,020	11,076,002	(166,472)	13,529,550	2,620,020	10,909,530
Rhode Island	5,104,108	976,405	4,127,703	(62,039)	5,042,069	976,405	4,065,664
South Carolina	19,186,456	3,670,328	15,516,128	(233,207)	18,953,249	3,670,328	15,282,921
South Dakota	840,914	160,865	680,049	(10,221)	830,693	160,865	669,828
Tennessee	22,128,000	4,233,039	17,894,961	(268,961)	21,859,039	4,233,039	17,626,000
Texas	62,020,936	11,864,472	50,156,464	(753,852)	61,267,084	11,864,472	49,402,612
Utah	6,063,094	1,159,857	4,903,237	(73,696)	5,989,398	1,159,857	4,829,541
Vermont	1,243,942	237,963	1,005,979	(15,120)	1,228,822	237,963	990,859
Virginia	18,481,552	3,535,481	14,946,071	(224,639)	18,256,913	3,535,481	14,721,432
Washington	22,272,901	4,260,758	18,012,143	(270,723)	22,002,178	4,260,758	17,741,420
West Virginia	4,558,971	872,121	3,686,850	(55,413)	4,503,558	872,121	3,631,437
Wisconsin	17,345,523	3,318,161	14,027,362	(210,831)	17,134,692	3,318,161	13,816,531
Wyoming	1,201,048	229,758	971,290	(14,598)	1,186,450	229,758	956,692
State Total	**1,063,432,320**	**203,432,320**	**860,000,000**	**(12,925,800)**	**1,050,506,520**	**203,432,320**	**847,074,200**
American Samoa	182,437	19,628	162,809	(2,447)	179,990	19,628	160,362
Guam	1,484,984	159,766	1,325,218	(19,918)	1,465,066	159,766	1,305,300
Northern Marianas	549,518	59,122	490,396	(7,371)	542,147	59,122	483,025
Palau	125,260	13,476	111,784	(1,680)	123,580	13,476	110,104
Virgin Islands	876,661	94,318	782,343	(11,759)	864,902	94,318	770,584
Outlying Areas Total	**3,218,860**	**346,310**	**2,872,550**	**(43,175)**	**3,175,685**	**346,310**	**2,829,375**
National Reserve	**220,892,820**	**23,765,370**	**197,127,450**	**(2,962,825)**	**217,929,995**	**23,765,370**	**194,164,625**

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U. S. Department of Labor
Employment and Training Administration
WIA Adult Activities
1.503 Temporary Rate of Operations Reduction Pursuant to Short-Term Continuing Appropriations Acts, 2012
(Applicable to FY 2012 "Advance" Funds Available 10/1/11)

State	Initial Allotment			FY 2012	Revised Allotment with Rescission		
	Total	7/1/2011 (PY 2011)	10/1/2011 (FY 2012)	1.503% Rescission	Total	7/1/2011 (PY 2011)	10/1/2011 (FY 2012)
Total	$770,921,920	$58,921,920	$712,000,000	($10,701,360)	$760,220,560	$58,921,920	$701,298,640
Alabama	12,090,307	924,068	11,166,239	(167,829)	11,922,478	924,068	10,998,410
Alaska	2,118,648	161,929	1,956,719	(29,409)	2,089,239	161,929	1,927,310
Arizona	14,638,503	1,118,827	13,519,676	(203,201)	14,435,302	1,118,827	13,316,475
Arkansas	6,399,544	489,120	5,910,424	(88,834)	6,310,710	489,120	5,821,590
California	113,937,862	8,708,323	105,229,539	(1,581,600)	112,356,262	8,708,323	103,647,939
Colorado	8,838,405	675,523	8,162,882	(122,688)	8,715,717	675,523	8,040,194
Connecticut	7,208,528	550,951	6,657,577	(100,063)	7,108,465	550,951	6,557,514
Delaware	1,922,487	146,937	1,775,550	(26,687)	1,895,800	146,937	1,748,863
District of Columbia	2,040,921	155,989	1,884,932	(28,331)	2,012,590	155,989	1,856,601
Florida	50,666,671	3,872,477	46,794,194	(703,317)	49,963,354	3,872,477	46,090,877
Georgia	22,840,137	1,745,682	21,094,455	(317,050)	22,523,087	1,745,682	20,777,405
Hawaii	2,375,218	181,539	2,193,679	(32,971)	2,342,247	181,539	2,160,708
Idaho	3,112,389	237,881	2,874,508	(43,204)	3,069,185	237,881	2,831,304
Illinois	33,485,477	2,559,310	30,926,167	(464,820)	33,020,657	2,559,310	30,461,347
Indiana	14,120,139	1,079,209	13,040,930	(196,005)	13,924,134	1,079,209	12,844,925
Iowa	3,872,586	295,984	3,576,602	(53,756)	3,818,830	295,984	3,522,846
Kansas	4,349,496	332,434	4,017,062	(60,376)	4,289,120	332,434	3,956,686
Kentucky	12,990,026	992,834	11,997,192	(180,318)	12,809,708	992,834	11,816,874
Louisiana	10,979,275	839,151	10,140,124	(152,406)	10,826,869	839,151	9,987,718
Maine	2,730,113	208,664	2,521,449	(37,897)	2,692,216	208,664	2,483,552
Maryland	9,553,233	730,158	8,823,075	(132,611)	9,420,622	730,158	8,690,464
Massachusetts	14,398,404	1,100,477	13,297,927	(199,868)	14,198,536	1,100,477	13,098,059
Michigan	38,927,229	2,975,226	35,952,003	(540,358)	38,386,871	2,975,226	35,411,645
Minnesota	10,065,109	769,281	9,295,828	(139,716)	9,925,393	769,281	9,156,112
Mississippi	9,805,450	749,435	9,056,015	(136,112)	9,669,338	749,435	8,919,903
Missouri	13,419,717	1,025,675	12,394,042	(186,282)	13,233,435	1,025,675	12,207,760
Montana	2,120,862	162,098	1,958,764	(29,440)	2,091,422	162,098	1,929,324
Nebraska	1,922,487	146,937	1,775,550	(26,687)	1,895,800	146,937	1,748,863
Nevada	8,185,256	625,603	7,559,653	(113,622)	8,071,634	625,603	7,446,031
New Hampshire	1,922,487	146,937	1,775,550	(26,687)	1,895,800	146,937	1,748,863
New Jersey	20,215,513	1,545,081	18,670,432	(280,617)	19,934,896	1,545,081	18,389,815
New Mexico	4,573,434	349,550	4,223,884	(63,485)	4,509,949	349,550	4,160,399
New York	45,933,685	3,510,733	42,422,952	(637,617)	45,296,068	3,510,733	41,785,335
North Carolina	22,906,147	1,750,727	21,155,420	(317,966)	22,588,181	1,750,727	20,837,454
North Dakota	1,922,487	146,937	1,775,550	(26,687)	1,895,800	146,937	1,748,863
Ohio	29,608,861	2,263,019	27,345,842	(411,008)	29,197,853	2,263,019	26,934,834
Oklahoma	6,455,261	493,379	5,961,882	(89,607)	6,365,654	493,379	5,872,275
Oregon	10,347,514	790,865	9,556,649	(143,636)	10,203,878	790,865	9,413,013
Pennylvania	26,995,920	2,063,310	24,932,610	(374,737)	26,621,183	2,063,310	24,557,873
Puerto Rico	25,392,538	1,940,763	23,451,775	(352,480)	25,040,058	1,940,763	23,099,295
Rhode Island	3,245,983	248,092	2,997,891	(45,058)	3,200,925	248,092	2,952,833
South Carolina	13,141,414	1,004,404	12,137,010	(182,419)	12,958,995	1,004,404	11,954,591
South Dakota	1,922,487	146,937	1,775,550	(26,687)	1,895,800	146,937	1,748,863
Tennessee	15,820,576	1,209,174	14,611,402	(219,609)	15,600,967	1,209,174	14,391,793
Texas	49,503,599	3,783,583	45,720,016	(687,172)	48,816,427	3,783,583	45,032,844
Utah	3,276,560	250,429	3,026,131	(45,483)	3,231,077	250,429	2,980,648
Vermont	1,922,487	146,937	1,775,550	(26,687)	1,895,800	146,937	1,748,863
Virginia	12,422,005	949,420	11,472,585	(172,433)	12,249,572	949,420	11,300,152
Washington	14,762,815	1,128,329	13,634,486	(204,926)	14,557,889	1,128,329	13,429,560
West Virginia	4,403,989	336,599	4,067,390	(61,133)	4,342,856	336,599	4,006,257
Wisconsin	11,261,887	860,751	10,401,136	(156,329)	11,105,558	860,751	10,244,807
Wyoming	1,922,487	146,937	1,775,550	(26,687)	1,895,800	146,937	1,748,863
State Total	768,994,615	58,774,615	710,220,000	(10,674,608)	758,320,007	58,774,615	699,545,392
American Samoa	109,235	8,349	100,886	(1,516)	107,719	8,349	99,370
Guam	889,140	67,957	821,183	(12,342)	876,798	67,957	808,841
Northern Marianas	329,026	25,148	303,878	(4,567)	324,459	25,148	299,311
Palau	75,000	5,732	69,268	(1,041)	73,959	5,732	68,227
Virgin Islands	524,904	40,119	484,785	(7,286)	517,618	40,119	477,499
Outlying Areas Total	1,927,305	147,305	1,780,000	(26,752)	1,900,553	147,305	1,753,248

OFAS/11 1004

Attachment III

Workforce Investment Act Waiver Request Template
Required Statewide Activities

To submit a waiver request, e-mail this completed form (or state-developed form) along with a cover letter to WIA.Plan@dol.gov and the appropriate ETA regional administrator.

ETA will also accept hard copy submissions with an original signature sent to the Federal Coordinator for Plan Review and Approval and to the appropriate ETA Regional Administrator. The address for the Federal Coordinator is as follows:

Division of Workforce System Support
Employment and Training Administration
U.S. Department of Labor
200 Constitution Ave., NW, Room S-4231
Washington, DC 20210
ATTN: Federal Coordinator for Plan Review and Approval

Date:

State:

Agency:

Statutory and/or regulatory requirements to be waived

Select which of the below waivers the state is seeking.

Waiver of WIA Section 134(a)(2)(B)(ii) and 20 CFR 665.200(d) requiring the conduct of evaluations of workforce investment activities for adults, dislocated workers, and youth.

Waiver of WIA Section 134(a)(2)(B)(iii) and 20 CFR 665.200(e) requiring provision of incentive grants to local areas.

Waiver of 20 CFR 665.200(b)(3) requiring dissemination of training provider performance and cost information.

Actions undertaken to remove state or local barriers

There are no state or local barriers.

Goals and expected programmatic outcomes of waiver

The reduction to five percent in the WIA allotment for Program Year 2011 Governor's Reserve funds restricts the state's ability to effectively fund and carry out all of the required statewide workforce investment activities. The current funding level in the Governor's Reserve is insufficient to cover the cost of [evaluations, incentive grants to local areas, dissemination of training provider performance and cost information] *(select applicable items).* The state's reduced funds are being used to cover the following required activities:

(insert list)

Our goal in seeking this waiver is to ensure that the state may prioritize the use of Governor's Reserve funds for the required activities we deem most essential to the basic functions of the workforce investment system.

Individuals impacted by the waiver

This waiver will provide the state agency with more flexibility in directing Governor's Reserve funds to those activities that best preserve basic functions of the statewide workforce investment system.

Process for monitoring progress in implementation

The State will monitor progress and ensure accountability for Federal funds in connection with these waivers by reviewing monthly expenditure, performance and other reports, through regular contact with the ETA Regional Office liaisons, and through its monitoring and performance accountability system.

Notice to affected local boards

Address how local boards affected by the waiver were notified of the request.

Public Comment

Provide a description of the proactive solicitation of public comments. At a minimum, post the proposed waiver request to the state's official website or in the newspaper for comment. Ideally, develop a targeted outreach strategy to collect input and comment from all affected stakeholders. Submit any comments or concerns collected in this manner and the outcome of the state's review of the public comments received.

LOCAL AREA PLANNING ALLOCATION
FOR PROGRAM YEAR 2011
(July 1, 2011 - June 30, 2012)

		PY 2011 Allotment	FY 2012 Allotment	Full PY Allotment
SEC. 133(b)(2)(A) ADULT PROGRAMS		**$924,068**	**$11,166,239**	**$12,090,307**
***Rescission**		**$0**	**-$167,829**	**-$167,829**
		$924,068	**$10,998,410**	**$11,922,478**
a. GOVERNOR's SET ASIDES:	**5%**	**$46,203**	**$549,920**	**$596,123**
Administration (5%)		**$46,203**	**$549,920** *	**$596,123**
Other WIA Activities (7%)		**$0**	**$0** *	**$0**
Incent/Cap. Bldng. (3%)		**$0**	**$0** *	**$0**
Local Area Grants (67%)		$0	$0 *	$0
State-level (33%)		$0	$0 *	$0
b. LOCAL AREA ADULT PROGRAMS	**95%**	**877,865**	**10,448,490** *	**11,326,355**
AWIA		$681,872	$8,115,754 *	$8,797,626
Jefferson		$108,842	$1,295,448 *	$1,404,290
Mobile		$87,151	$1,037,288 *	$1,124,439
SEC. 128(b)(2) YOUTH ALLOTMENT		**$12,455,574**	**$0**	**$12,455,574**
a. GOVERNOR's SET ASIDES:	**5%**	**$622,778**	**$0**	**$622,778**
Administration (5%)		**$622,778**	**$0**	**$622,778**
Other WIA Activities (7%)		**$0**	**$0**	**$0**
Incent/Cap. Bldng. (3%)		**$0**	**$0**	**$0**
Local Area Grants (67%)		$0	$0	$0
State-level (33%)		$0	$0	$0
b. **LOCAL AREA YOUTH PROGRAMS**	**95%**	**$11,832,796**	**$0**	**$11,832,796**
AWIA		$9,247,655	$0	$9,247,655
Jefferson		$1,429,562	$0	$1,429,562
Mobile		$1,155,579	$0	$1,155,579

*Incorporates adjustment for 1.504 Rescission and recalculates allocations after clarification 5% Governor Reserve maximum. 11/10/2011

LOCAL AREA PLANNING ALLOCATION
FOR PROGRAM YEAR 2011
(July 1, 2011 - June 30, 2012)

		PY 2011 Allotment	FY 2012 Allotment	Full PY Allotment
SEC. 133(b)(2)(B) DISLOCATED WORKERS		**$3,085,372**	**$13,043,258**	**$16,128,630**
		$0	**-$196,040**	**-$196,040**
		$3,085,372	**$12,847,218**	**$15,932,590**
a. RAPID RESPONSE PROGRAMS	**0%**	**$0**	**$0**	**$0**
b. GOVERNOR's SET ASIDES:	**5%**	**$154,268**	**$642,360**	**$796,628**
Administration (5%)		**$154,268**	**$642,360 ***	**$796,628**
Other WIA Activities (7%)		**$0**	**$0 ***	**$0**
Incent/Cap. Bldng. (3%)		**$0**	**$0 ***	**$0**
Local Area Grants (67%)		$0	$0 *	$0
State-level (33%)		$0	$0 *	$0
c. LOCAL AREA D.W. PROGRAMS	**95%**	**$2,931,104**	**$12,204,858 ***	**$15,135,962**
AWIA		$2,260,682	$9,413,279 *	$11,673,961
Jefferson		$434,684	$1,809,987 *	$2,244,671
Mobile		$235,738	$981,592 *	$1,217,330
TOTAL PROGRAM YEAR ALLOTMENT		**$16,465,014**	**$23,845,628**	**$40,310,642**
POOLED SET ASIDES				
RAPID RESPONSE PROGRAMS	**0%**	**$0**	**$0**	**$0**
Administration (5%)		**$823,249**	**$1,192,280**	**$2,015,529**
Other WIA Activities (7%)		**$0**	**$0**	**$0**
Incentive Awards/Capacity Building (3%)		**$0**	**$0**	**$0**
Local Area grants (67%)		**$0**	**$0**	**$0**
AWIA		N/A	N/A	N/A
Jefferson		N/A	N/A	N/A
Mobile		N/A	N/A	N/A
State-level activities (33%)		**$0**	**$0**	**$0**

*Incorporates adjustment for 1.504 Rescission and recalculates allocations after clarification 5% Governor Reserve maximum. 11/10/2011

LOCAL AREA PLANNING ALLOCATION
FOR PROGRAM YEAR 2011
(July 1, 2011 - June 30, 2012)

		PY 2011 Allotment	FY 2012 Allotment	Full PY Allotment
AWIA				
	ADULT	$681,872	$8,115,754 *	**$8,797,626**
	YOUTH	$9,247,655	$0	**$9,247,655**
	DISLOCATED WORKER	$2,260,682	$9,413,279 *	**$11,673,961**
	TOTAL LOCAL AREA FUNDS	**$12,190,209**	**$17,529,033**	**$29,719,242**
JEFFERSON				
	ADULT	$108,842	$1,295,448 *	**$1,404,290**
	YOUTH	$1,429,562	$0	**$1,429,562**
	DISLOCATED WORKER	$434,684	$1,809,987 *	**$2,244,671**
	TOTAL LOCAL AREA FUNDS	**$1,973,088**	**$3,105,435**	**$5,078,523**
MOBILE				
	ADULT	$87,151	$1,037,288 *	$1,124,439
	YOUTH	$1,155,579	$0	$1,155,579
	DISLOCATED WORKER	$235,738	$981,592 *	$1,217,330
	TOTAL LOCAL AREA FUNDS	**$1,478,468**	**$2,018,880**	**$3,497,348**
STATE-LEVEL				
	ADULT	**$46,203**	**$549,920** *	**$596,123**
	YOUTH	**$622,778**	**$0**	**$622,778**
	DISLOCATED WORKER	**$154,268**	**$642,360** *	**$796,628**
	RAPID RESPONSE	**$0**	**$0**	**$0**
	TOTAL STATE-LEVEL FUNDS	**$823,249**	**$1,192,280**	**$2,015,529**
TOTAL ALL FUNDS		**$16,465,014**	**$23,845,628**	**$40,310,642**

*Incorporates adjustment for 1.504 Rescission and recalculates allocations after clarification 5% Governor Reserve maximum. 11/10/2011