

## Alabama Workforce Investment System

Alabama Department of Economic and Community Affairs  
Workforce Development Division  
401 Adams Avenue  
Post Office Box 5690  
Montgomery, Alabama 36103-5690

March 8, 2004

### GOVERNOR'S WORKFORCE DEVELOPMENT DIRECTIVE NO. PY2002-20, Change 6

**SUBJECT:** Program Year 2003 WIA Youth, Adult, and Dislocated Worker Allocations

- 1. Purpose.** This transmits notice of USDOL's recapture of unobligated FY 2004 Dislocated Worker funds from one State, the reallocation of a portion of these funds to Alabama, and the allocation of these reallocated funds to the local areas.
- 2. Discussion.** The Statewide WIA Fiscal Procedures Manual, Section V.C.1. (REALLOCATION OF FUNDS (State Policy)), states, in part, "...The Secretary reallocates youth, adult, and dislocated worker funds among eligible states in accordance with the provisions of WIA Section 127 ( c ) and 132 ( c ) respectively. To be eligible to receive a reallocation of youth, adult, or dislocated worker funds under the reallocation procedures, a state must have obligated at least 80 percent of the prior program year allotment, less any amount reserved for the costs of administration of youth, adult, or dislocated worker funds. A state's eligibility to receive a reallocation is separately determined for each funding stream (20 CFR 667.150(c))...."

USDOL Training and Employment Guidance Letter No. 17-03, a copy of which is Attachment 6 to this Directive, indicates that, pursuant to 20 CFR 667.150(c), \$4,041,974 of unobligated FY 2004 Dislocated Worker funds are recaptured by USDOL, and \$77,491 of these funds are reallocated to Alabama.

The State may withhold up to fifteen percent of Adult and Youth fund awards, and up to forty percent of Dislocated Worker fund awards, for the conduct of State-level activities. However, the State elects not to withhold any portion of these reallocated funds. Therefore, the full \$77,491 is distributed, per current year (PY 2003) allocation formula, to the local areas.

**GOVERNOR'S WORKFORCE DEVELOPMENT DIRECTIVE NO. PY2002-20, Change  
6**

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Note that local areas must plan for the full PY 2003 expenditure of their respective FY 2004 Dislocated Worker fund reallocations, and must obligate at least 80 percent of these funds by June 30 of the Program Year during which the funds are first made available (see Statewide WIA Fiscal Procedures Manual, Section V.C.1.).

Further note that local areas' full FY 2004 Dislocated Worker allocation is amended through this action. That is, additional FY 2004 Dislocated Worker funding may be budgeted/expended for local administration and for program costs.

**3. Action.**

- a. Local areas must submit appropriate modifications to their respective PY 2003/FY 2004 WIA Grant Agreement packages to the ADECA Workforce Development Division prior to **April 9, 2004**.
- b. Replace the old Attachment 1 with the new Attachment 1. Add the new Attachment 6 following Attachment 5.

- 4. Contact.** Any questions should be referred to Charles Terry, State Planning Section, at (334) 242-5883.

  
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Steve Walkley, Division Director  
Workforce Development Division

**Attachments:**

- 1: Local Area Planning Allocation for Program Year 2003
- 6: TEGL No. 17-03

**LOCAL AREA PLANNING ALLOCATION  
FOR PROGRAM YEAR 2003  
(July 1, 2003-June 30, 2004)**

	PY 2003 Allotment	FY 2004 Allotment	Full PY Allotment
1 SEC. 133(b)(2)(A) ADULT PROGRAMS	\$3,285,504	\$12,524,381	\$15,809,885
a. GOVERNOR'S 15% SET ASIDES:	\$492,826	\$1,878,657	\$2,371,483
Administration (5%)	\$164,275	\$626,219	\$790,494
Other WIA Activities (7%)	\$229,985	\$876,707	\$1,106,692
Capacity Building (3%)	\$98,566	\$375,731	\$474,297
Local Area Grants (67%)	\$66,039	\$251,740	\$317,779
State-level (33%)	\$32,527	\$123,991	\$156,518
b. LOCAL AREA ADULT PROGRAMS (85%)	\$2,792,678	\$10,645,724	\$13,438,402
AWIA	\$2,367,635	\$9,025,454	\$11,393,089
Jefferson	\$175,815	\$670,210	\$846,025
Mobile	\$249,228	\$950,060	\$1,199,288
2 SEC. 128(b)(2) YOUTH ALLOTMENT	\$16,855,132	\$0	\$16,855,132
a. GOVERNOR'S 15% SET ASIDES:	\$2,528,270	\$0	\$2,528,270
Administration (5%)	\$842,757	\$0	\$842,757
Other WIA Activities (7%)	\$1,179,859	\$0	\$1,179,859
Incentives (3%)	\$505,654	\$0	\$505,654
Local Area Grants (67%)	\$338,788	\$0	\$338,788
State-level (33%)	\$166,866	\$0	\$166,866
b. LOCAL AREA YOUTH PROGRAMS (85%)	\$14,326,862	\$0	\$14,326,862
AWIA	\$12,183,516	\$0	\$12,183,516
Jefferson	\$857,166	\$0	\$857,166
Mobile	\$1,286,180	\$0	\$1,286,180

ATTACHMENT 1 (con't.)

	PY 2003 Allotment	FY 2004 Allotment	Full PY/FY Allotment
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3	SEC. 133(b)(2)(B) DISLOCATED WORKERS	\$5,247,201	\$14,564,193	\$19,811,394
	a. RAPID RESPONSE PROGRAMS (15%)	\$787,080	\$2,173,005	\$2,960,085
	b. GOVERNOR'S 15% SET ASIDES:	\$787,080	\$2,173,005	\$2,960,085
	Administration (5%)	\$262,360	\$724,335	\$986,695
	Other WIA Activities (7%)	\$367,304	\$1,014,069	\$1,381,373
	Incentives (3%)	\$157,416	\$434,601	\$592,017
	Local Area Grants (67%)	\$105,469	\$291,183	\$396,652
	State-level (33%)	\$51,947	\$143,418	\$195,365
	c. LOCAL AREA PROGRAMS (70%)	\$3,673,041	\$10,218,183	\$13,891,224
	AWIA	\$3,050,344	\$8,485,876	\$11,536,220
	Jefferson	\$300,506	\$835,989	\$1,136,495
	Mobile	\$322,191	\$896,318	\$1,218,509
4	TOTAL PROGRAM YEAR 2003 ALLOTMENT	\$25,387,837	\$27,088,574	\$52,476,411
5	POOLED SET ASIDES			
	Rapid Response (15%)	\$787,080	\$2,173,005	\$2,960,085
	Administration (5%)	\$1,269,392	\$1,350,554	\$2,619,946
	Other WIA Activities (7%)	\$1,777,148	\$1,890,776	\$3,667,924
	Incentive Awards/Capacity Building (3%)	\$761,636	\$810,332	\$1,571,968
	Local Area grants (67%)	\$510,296	\$542,923	\$1,053,219
	AWIA	\$416,205	\$357,305	\$773,510
	Jefferson	\$28,559	\$93,092	\$121,651
	Mobile	\$65,532	\$92,526	\$158,058
	State-level activities (33%)	\$251,340	\$267,409	\$518,749

ATTACHMENT 1 (con't.)

6 PY 03 / FY 04 Local Area Incentive Awards by CFDA / Funding Category

	PY 2003	FY 2004	Total
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AWIA

Fund Source

	Adult	\$38,497	\$146,751	\$185,248
	Youth	\$301,443	\$0	\$301,443
	Disc. Wkr.	\$76,265	\$210,554	\$286,819
		<u>\$416,205</u>	<u>\$357,305</u>	<u>\$773,510</u>
<b>Jefferson</b>				
	<b>Fund Source</b>			
	Adult	\$13,553	\$51,662	\$65,215
	Youth	\$0	\$0	\$0
	Disc. Wkr.	\$15,006	\$41,430	\$56,436
		<u>\$28,559</u>	<u>\$93,092</u>	<u>\$121,651</u>
<b>Mobile</b>				
	<b>Fund Source</b>			
	Adult	\$13,989	\$53,327	\$67,316
	Youth	\$37,345	\$0	\$37,345
	Disc. Wkr.	\$14,198	\$39,199	\$53,397
		<u>\$65,532</u>	<u>\$92,526</u>	<u>\$158,058</u>
<b>State</b>				
	<b>Fund Source</b>			
	Adult	\$66,039	\$251,740	\$317,779
	Youth	\$338,788	\$0	\$338,788
	Disc. Wkr.	\$105,469	\$291,183	\$396,652
		<u>\$510,296</u>	<u>\$542,923</u>	<u>\$1,053,219</u>

<b>Employment and Training Administration Advisory System</b> U.S. Department of Labor Washington, D.C. 20210	<b>CLASSIFICATION</b> Dislocated Workers
	<b>CORRESPONDENCE SYMBOL</b> OWI
	<b>DATE</b> February 23, 2004

**ADVISORY:** TRAINING AND EMPLOYMENT GUIDANCE LETTER NO. 17-03

**TO:** ALL STATE WORKFORCE AGENCIES  
ALL ONE-STOP CENTER SYSTEM LEADS

/s/

**FROM:** EMILY STOVER DeROCCO  
Assistant Secretary

**SUBJECT:** Reallocation of Workforce Investment Act (WIA) Title I  
Formula-Allotted Funds for Dislocated Worker Activities

1. **Purpose.** To provide adjusted funding levels for Program Year (PY) 2003 allotments for the Dislocated Worker program under WIA Title I Section 132(c) through the recapture and reallocation mechanism.

2. **References.** A. TEGL 23-02.

B. Workforce Investment Act (Public Law 105-220).

3. **Background.** On April 1, 2003, TEGL 23-02 provided states and outlying areas with WIA Title I Adult, Dislocated Worker and Youth Activities allotments for PY 2003 and alerted states about the conditions for the recapture and reallocation of funds as required under WIA Sections 127(c) and 132(c). Under WIA Section 132(c), the Secretary conducts a reallocation of Dislocated Worker formula funds based on state financial reports submitted at the end of the Program Year. The procedures the Secretary uses for recapture and reallocation of funds are described in WIA regulations at 20 CFR Section 667.150. We will not recapture any PY 2003 funds for the Adult and Youth programs because all states met the statutory thresholds. For the Dislocated Worker program, however, a total of \$4,041,974 in unobligated funds will be recaptured from one state and reallocated to the remaining states, as required by WIA Section 132(c).

4. **Notice of Obligation (NOO) Adjustment.** Notices of Obligation and Deobligation for the states will be issued to reflect the recapture and reallocation of these funds. The adjustment of funds will be made to the FY 2004 advance portion of the PY 2003 allotments, which were issued in October 2003. Attached is a table displaying the net changes to PY 2003 formula allotments and a description of the reallocation methodology.

**5. Procedures and Reporting.** Neither WIA statutory language nor WIA regulatory language provides specific requirements by which a state must distribute recaptured funds among the state itself and any local areas, so states have the flexibility to determine the methodology to be used. For any state from which funds are being recaptured, WIA Section 132(c) (5) requires the Governor to prescribe equitable procedures for making funds available between the state and local areas.

For reporting purposes, the recapture/reallotment amount must be reflected (decrease or increase) in the "Total Federal Funds Authorized" line of any affected FY 2004 WIA Financial Status Reports (Statewide Activities, Statewide Rapid Response, Local Dislocated Worker Program Activities, Local Administration) in a manner consistent with the method of distribution of these amounts to states and local areas. An explanation of the adjustment should be included in the remarks sections of the adjusted reports.

**6. Action Required.** State agencies are requested to provide this guidance letter to the appropriate WIA state and local staff.

**7. Inquiries.** Questions regarding the revised allotments should be directed to the appropriate Regional Office.

**8. Attachments.**

- A. [WIA Dislocated Worker Activities, PY 2003 Reallotment to States.](#)
- B. [WIA Dislocated Worker Activities, PY 2003 Revised Allotments with Reallotment.](#)
- C. [Dislocated Worker State Formula PY 2003 Reallotment Methodology.](#)

RESCISSIONS	EXPIRATION DATE
	Continuing

**U.S. DEPARTMENT OF LABOR  
Employment and Training Administration  
WIA Dislocated Worker Activities  
PY 2003 Reallotment to States**

	<b>Excess Unobligated PY 2002 Funds for Recapture in PY 2003</b>	<b>PY 2002 Dislocated Worker Allotments* for Eligible States</b>	<b>PY 2003 Reallotment Amount for Eligible States</b>	<b>Total PY 2003 Allotments</b>	<b>Total Adjustment to PY 2003 (Recapture/ Reallotment)</b>	<b>Revised Total PY 2003 Allotments</b>
Alabama	0	22,839,022	77,491	19,733,903	77,491	19,811,394
Alaska	0	9,359,012	31,755	3,547,956	31,755	3,579,711
Arizona **	0	12,585,993	42,703	19,319,754	42,703	19,362,457
Arkansas	0	7,535,231	25,567	8,418,083	25,567	8,443,650
California	0	218,291,786	740,649	181,903,156	740,649	182,643,805
Colorado	0	7,368,606	25,001	12,699,522	25,001	12,724,523
Connecticut	0	5,381,801	18,260	6,574,440	18,260	6,592,700
Delaware	0	2,549,663	8,651	1,626,875	8,651	1,635,526
District of Columbia	0	8,821,562	29,931	3,426,849	29,931	3,456,780
Florida	0	40,038,878	135,849	56,772,587	135,849	56,908,436
Georgia	0	19,011,923	64,506	19,959,194	64,506	20,023,700
Hawaii	0	4,239,989	14,386	3,523,052	14,386	3,537,438
Idaho	0	6,364,653	21,595	4,620,076	21,595	4,641,671
Illinois	0	91,563,693	310,670	63,948,516	310,670	64,259,186
Indiana	0	12,245,902	41,550	18,749,009	41,550	18,790,559
Iowa	0	4,831,771	16,394	4,754,065	16,394	4,770,459
Kansas	0	6,381,853	21,653	5,885,172	21,653	5,906,825
Kentucky	0	11,195,938	37,987	15,391,281	37,987	15,429,268
Louisiana	4,041,974	0	0	22,202,620	(4,041,974)	18,160,646
Maine	0	3,362,559	11,409	2,416,484	11,409	2,427,893
Maryland	0	16,936,057	57,463	13,878,761	57,463	13,936,224
Massachusetts	0	12,310,663	41,769	16,346,535	41,769	16,388,304
Michigan	0	27,603,039	93,655	49,265,375	93,655	49,359,030
Minnesota	0	11,419,557	38,746	10,861,209	38,746	10,899,955
Mississippi	0	19,711,241	66,879	15,052,083	66,879	15,118,962
Missouri	0	15,768,716	53,502	17,431,907	53,502	17,485,409
Montana	0	3,297,238	11,187	2,077,280	11,187	2,088,467
Nebraska	0	2,771,311	9,403	2,888,995	9,403	2,898,398
Nevada	0	6,632,682	22,504	9,376,689	22,504	9,399,193
New Hampshire	0	2,256,438	7,656	2,502,182	7,656	2,509,838
New Jersey	0	26,482,168	89,852	30,098,146	89,852	30,187,998
New Mexico **	0	17,678,508	59,982	7,082,177	59,982	7,142,159
New York	0	67,337,916	228,473	85,640,106	228,473	85,868,579
North Carolina	0	27,135,492	92,069	43,544,252	92,069	43,636,321
North Dakota	0	1,196,602	4,060	950,765	4,060	954,825
Ohio	0	34,016,668	115,416	39,264,551	115,416	39,379,967
Oklahoma	0	6,466,905	21,942	6,353,809	21,942	6,375,751
Oregon	0	29,682,356	100,710	25,742,763	100,710	25,843,473
Pennsylvania	0	41,583,609	141,090	44,985,677	141,090	45,126,767
Puerto Rico	0	121,012,835	410,588	36,968,824	410,588	37,379,412
Rhode Island	0	2,677,011	9,083	2,582,668	9,083	2,591,751
South Carolina	0	11,975,908	40,633	17,690,855	40,633	17,731,488
South Dakota	0	984,428	3,340	1,278,341	3,340	1,281,681
Tennessee	0	13,901,160	47,166	17,752,044	47,166	17,799,210
Texas	0	59,694,839	202,541	91,566,972	202,541	91,769,513
Utah	0	4,328,062	14,685	6,466,518	14,685	6,481,203
Vermont	0	1,304,673	4,427	1,298,772	4,427	1,303,199
Virginia	0	11,096,475	37,650	14,032,707	37,650	14,070,357
Washington	0	68,257,288	231,592	39,395,498	231,592	39,627,090
West Virginia	0	15,233,682	51,687	6,944,168	51,687	6,995,855
Wisconsin	0	15,284,439	51,859	19,403,913	51,859	19,455,772
Wyoming	0	1,284,509	4,358	955,311	4,358	959,669
<b>STATE TOTAL</b>	<b>\$4,041,974</b>	<b>\$1,191,292,310</b>	<b>\$4,041,974</b>	<b>\$1,155,152,447</b>	<b>\$0</b>	<b>\$1,155,152,447</b>

\* Including rescissions and prior year recapture/reallotment amounts

\*\* Includes Navajo Nation



U. S. Department of Labor  
Employment and Training Administration

**WIA Dislocated Worker Activities  
PY 2003 Revised Allotments with Reallotment**

	Total			Available 7/1/03			Available 10/1/03		
	Original	Recapture/ Reallotment	Revised	Original	Recapture/ Reallotment	Revised	Original	Recapture/ Reallotment	Revised
Alabama	19,733,903	77,491	19,811,394	5,247,201	-	5,247,201	14,486,702	77,491	14,564,193
Alaska	3,547,956	31,755	3,579,711	943,394	-	943,394	2,604,562	31,755	2,636,317
Arizona *	19,319,754	42,703	19,362,457	5,137,079	-	5,137,079	14,182,675	42,703	14,225,378
Arkansas	8,418,083	25,567	8,443,650	2,238,349	-	2,238,349	6,179,734	25,567	6,205,301
California	181,903,156	740,649	182,643,805	48,367,641	-	48,367,641	133,535,515	740,649	134,276,164
Colorado	12,699,522	25,001	12,724,523	3,376,774	-	3,376,774	9,322,748	25,001	9,347,749
Connecticut	6,574,440	18,260	6,592,700	1,748,129	-	1,748,129	4,826,311	18,260	4,844,571
Delaware	1,626,875	8,651	1,635,526	432,582	-	432,582	1,194,293	8,651	1,202,944
District of Columbia	3,426,849	29,931	3,456,780	911,191	-	911,191	2,515,658	29,931	2,545,589
Florida	56,772,587	135,849	56,908,436	15,095,704	-	15,095,704	41,676,883	135,849	41,812,732
Georgia	19,959,194	64,506	20,023,700	5,307,105	-	5,307,105	14,652,089	64,506	14,716,595
Hawaii	3,523,052	14,386	3,537,438	936,772	-	936,772	2,586,280	14,386	2,600,666
Idaho	4,620,076	21,595	4,641,671	1,228,468	-	1,228,468	3,391,608	21,595	3,413,203
Illinois	63,948,516	310,670	64,259,186	17,003,767	-	17,003,767	46,944,749	310,670	47,255,419
Indiana	18,749,009	41,550	18,790,559	4,985,319	-	4,985,319	13,763,690	41,550	13,805,240
Iowa	4,754,065	16,394	4,770,459	1,264,095	-	1,264,095	3,489,970	16,394	3,506,364
Kansas	5,885,172	21,653	5,906,825	1,564,854	-	1,564,854	4,320,318	21,653	4,341,971
Kentucky	15,391,281	37,987	15,429,268	4,092,507	-	4,092,507	11,298,774	37,987	11,336,761
Louisiana	22,202,620	-	22,202,620	5,903,627	-	5,903,627	16,298,993	-	16,298,993
Maine	2,416,484	11,409	2,427,893	642,538	-	642,538	1,773,946	11,409	1,785,355
Maryland	13,878,761	57,463	13,936,224	3,690,331	-	3,690,331	10,188,430	57,463	10,245,893
Massachusetts	16,346,535	41,769	16,388,304	4,346,507	-	4,346,507	12,000,028	41,769	12,041,797
Michigan	49,265,375	93,655	49,359,030	13,099,553	-	13,099,553	36,165,822	93,655	36,259,477
Minnesota	10,861,209	38,746	10,899,955	2,887,971	-	2,887,971	7,973,238	38,746	8,011,984
Mississippi	15,052,083	66,879	15,118,962	4,002,315	-	4,002,315	11,049,768	66,879	11,116,647
Missouri	17,431,907	53,502	17,485,409	4,635,105	-	4,635,105	12,796,802	53,502	12,850,304
Montana	2,077,280	11,187	2,088,467	552,344	-	552,344	1,524,936	11,187	1,536,123
Nebraska	2,888,995	9,403	2,898,398	768,177	-	768,177	2,120,818	9,403	2,130,221
Nevada	9,376,689	22,504	9,399,193	2,493,241	-	2,493,241	6,883,448	22,504	6,905,952
New Hampshire	2,502,182	7,656	2,509,838	665,325	-	665,325	1,836,857	7,656	1,844,513
New Jersey	30,098,146	89,852	30,187,998	8,003,030	-	8,003,030	22,095,116	89,852	22,184,968
New Mexico *	7,082,177	59,982	7,142,159	1,883,135	-	1,883,135	5,199,042	59,982	5,259,024
New York	85,640,106	228,473	85,868,579	22,771,512	-	22,771,512	62,868,594	228,473	63,097,067
North Carolina	43,544,252	92,069	43,636,321	11,578,319	-	11,578,319	31,965,933	92,069	32,058,002
North Dakota	950,765	4,060	954,825	252,806	-	252,806	697,959	4,060	702,019
Ohio	39,264,551	115,416	39,379,967	10,440,356	-	10,440,356	28,824,195	115,416	28,939,611
Oklahoma	6,353,809	21,942	6,375,751	1,689,464	-	1,689,464	4,664,345	21,942	4,686,287
Oregon	25,742,763	100,710	25,843,473	6,844,943	-	6,844,943	18,897,820	100,710	18,998,530
Pennsylvania	44,985,677	141,090	45,126,767	11,961,591	-	11,961,591	33,024,086	141,090	33,165,176
Puerto Rico	36,968,824	410,588	37,379,412	9,829,927	-	9,829,927	27,138,897	410,588	27,549,485
Rhode Island	2,582,668	9,083	2,591,751	686,726	-	686,726	1,895,942	9,083	1,905,025
South Carolina	17,690,855	40,633	17,731,488	4,703,959	-	4,703,959	12,986,896	40,633	13,027,529
South Dakota	1,278,341	3,340	1,281,681	339,908	-	339,908	938,433	3,340	941,773
Tennessee	17,752,044	47,166	17,799,210	4,720,229	-	4,720,229	13,031,815	47,166	13,078,981
Texas	91,566,972	202,541	91,769,513	24,347,452	-	24,347,452	67,219,520	202,541	67,422,061
Utah	6,466,518	14,685	6,481,203	1,719,433	-	1,719,433	4,747,085	14,685	4,761,770
Vermont	1,298,772	4,427	1,303,199	345,341	-	345,341	953,431	4,427	957,858
Virginia	14,032,707	37,650	14,070,357	3,731,265	-	3,731,265	10,301,442	37,650	10,339,092
Washington	39,395,498	231,592	39,627,090	10,475,175	-	10,475,175	28,920,323	231,592	29,151,915
West Virginia	6,944,168	51,687	6,995,855	1,846,439	-	1,846,439	5,097,729	51,687	5,149,416
Wisconsin	19,403,913	51,859	19,455,772	5,159,457	-	5,159,457	14,244,456	51,859	14,296,315
Wyoming	955,311	4,358	959,669	254,015	-	254,015	701,296	4,358	705,654
<b>STATE TOTAL</b>	<b>1,155,152,447</b>	<b>4,041,974</b>	<b>1,159,194,421</b>	<b>307,152,447</b>	<b>-</b>	<b>307,152,447</b>	<b>848,000,000</b>	<b>4,041,974</b>	<b>852,041,974</b>

\* Includes funds allocated to the Navajo Nation

## Dislocated Worker State Formula PY 2003 Reallotment Methodology

**Source data:** State WIA 6/30/03 financial status reports  
 Statewide Activities (plus State Administrative breakout)  
     Rapid Response Activities  
     Local Administration  
     Local Dislocated Worker Program  
 Dislocated Worker program = sum of:  
     Estimated Dislocated Worker portion of Statewide Activities (less State Administrative portion)  
     Rapid Response Activities  
     Estimated Dislocated Worker portion of Local Administration  
     Local Dislocated Worker program

**Years covered:** PY 2002 and FY 2003

### Methodology for disaggregating Statewide Activities/Local Admin report data by program:

	Statewide Activities – 15%	Local Administration – 10%
<b>Auth</b>	Fed NOO \$ to State by pgm less est Local Admin Auth by pgm  less rptd Local Pgm Auth by pgm less rptd Rapid Response Auth (DW only)	Prorated using reported Local Program Auth by program
<b>Oblig</b>	Prorated using Statewide Auth est by pgm	Considered 100% Obligated

### Reallotment calculations:

- (1) Each state’s obligated balance of PY 2002 (including FY 2003) funds for the Dislocated Worker (DW) program is calculated. State obligations are considered to be the total of the estimated DW share of statewide activities obligations, Rapid Response obligations, 100% of local DW program authorized, and 100% of estimated DW portion of local admin authorized. The DW total unobligated balance is the DW 2002 allotment amount (adjusted for rescissions and PY 2002 recapture/reallotment amounts) less the calculated total DW obligations. (Navajo Nation funds are added back to the New Mexico and Arizona for reallotment purposes and treated like a local area.)
- (2) Section 667.150 of the regulations provides that the recapture calculations exclude the reserve for state administration. Therefore, additional data on state administrative amounts included in the PY 2002 and FY 2003 Statewide Activities amounts authorized and obligated as of 6/30/03 are obtained (not normally available on WIA financial reports) from the states potentially liable for recapture.
- (3) For those states potentially liable for recapture, the DW portion of the state administrative amounts authorized and obligated is estimated using the DW relative share of the state allotment amounts for all programs (adjusted for rescissions, but not the PY 2002 reallotment). Actual DW portions reported by states, rather than estimates, are used when provided. The DW total allotment for these states is reduced by the DW portion of the state administrative amount authorized and the DW total obligations for these states are reduced by the DW portion of the state administrative amounts obligated. These calculations are done separately for PY 2002 and FY 2003.
- (4) States (including those adjusted by State administrative data) with unobligated balances for combined PY 2002/FY 2003 exceeding 20% of the combined PY2002/FY2003 DW allotment (adjusted for rescissions and PY 2002 recapture/reallotment amounts) will have their DW 2003 funding reduced (recaptured) by the amount of the excess.
- (5) As calculated above, states with unobligated balances not exceeding 20% will receive a share of the total recaptured amount based on their share of the total PY 2002/FY2003 DW allotments amount (adjusted for rescissions and PY 2002 recapture/reallotment amounts) for all such states.