

**ALABAMA WORKFORCE INVESTMENT SYSTEM**  
**Alabama Department of Economic and Community Affairs**  
**Workforce Development Division**  
**401 Adams Avenue**  
**Post Office Box 5690**  
**Montgomery, Alabama 36103-5690**

**GOVERNOR'S WORKFORCE DEVELOPMENT DIRECTIVE NO. PY2009-06, Change 8**

**SUBJECT:** Program Year 2010/Fiscal Year 2011 Workforce Investment Act (WIA)  
Adult, Youth, and Dislocated Worker Allocations

1. **Purpose.** This transmits notice of the deobligation of unexpended Fiscal Year 2011 Dislocated Worker Program funds from the Jefferson County Local Workforce Investment Area (LWIA) and the subsequent reallocation of these Program funds to the Alabama Workforce Investment Area (AWIA), and to the Mobile County Local Workforce Investment Area (LWIA).
  
2. **Discussion.** The Statewide WIA Fiscal Procedures Manual , at Section V.C.2, states "...Funds allocated by the State to local workforce investment areas under WIA Sections 128(b) and 133(b), for any program year are available for expenditure only during that program year and the succeeding program year. Funds which are not expended by an LWIA in the two-year period (described above) must be returned to the State. Funds so returned are available for expenditure by the State and/or LWIAs (which are in compliance with expenditure requirements) only during the third program year of availability. In accordance with 20 CFR 667.107(b)(i) and (ii), these funds may be....used for Statewide projects or distributed to other LWIAs which have fully expended their allocation of funds..."

Financial reports (ETA-9130s for June 30, 2012) indicate the Jefferson County LWIA failed to expend \$294,955.41 of its allocated Fiscal Year 2011 Dislocated Worker Program funds within the mandated two program year period (07/01/10-06/30/12). Per the WIA Fiscal Procedures Manual specifications, the unexpended Jefferson County LWIA Fiscal Year 2011 Dislocated Worker Program funds may be reallocated to the State and/or the AWIA and the Mobile County LWIA.

The revised Local Area Planning Allocation for Program Year 2010 is Attachment 1 of this Directive. Attachment 2 indicates the method used for reallocation of these funds.

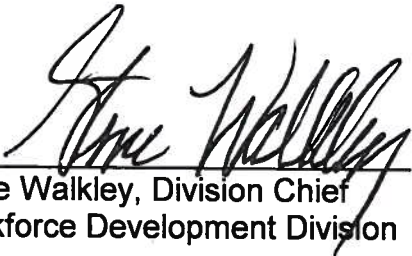
These funds are LWIA Program Funds; all Fiscal Year 2011 LWIA Administrative funds are fully expended.

**GOVERNOR'S WORKFORCE DEVELOPMENT DIRECTIVE NO. PY2009-06, Change 8**  
**Page Two**

3. **Action.** The Jefferson County LWIA Fiscal Year 2011 Dislocated Worker allocation is reduced by \$294,955.41. The State has elected not to retain any of the unexpended funds. The funds (\$294,955.41) will be reallocated as follows: the AWIA Fiscal Year 2011 Dislocated Worker allocation is increased by \$275,458.86, and the Mobile County LWIA Fiscal Year 2011 Dislocated Worker allocation is increased by \$19,496.55. As noted above, the affected funds are program funds.

Local Areas must submit the appropriate modifications to their respective Program Year 2010/Fiscal Year 2011 WIA Grant Agreement packages to the Workforce Development Division no later than September 28, 2012.

4. **Contact.** Any questions should be referred to Bill Hornsby, State Programs, Planning, and Divisional Budget Management Section, at (334) 242-5847 or [bill.hornsby@adeca.alabama.gov](mailto:bill.hornsby@adeca.alabama.gov).



Steve Walkley, Division Chief  
Workforce Development Division

8/22/12  
Date

Attachment 1: Local Area Planning Allocation for Program Year 2010  
Attachment 2: Reallocation Calculation

LOCAL AREA PLANNING ALLOCATION  
FOR PROGRAM YEAR 2010  
(July 1, 2010-June 30, 2011)

		PY 2010 Allotment	FY 2011 Allotment	Full PY Allotment
<b>SEC. 133(b)(2)(A) ADULT PROGRAMS</b>				
a. GOVERNOR's SET ASIDES:				
	15%	\$ 2,004,119	\$ 9,523,066	\$ 11,527,185
Administration (5%)		\$ 100,205	\$ 477,107	\$ 577,312
Other WIA Activities (7%)		\$ 140,288	\$ 743,334	\$ 883,622
Incent/Cap. Bldg. (3%)		\$ 60,124	\$ 191,797.00	\$ 251,921
Local Area Grants (67%)		\$ 40,283	\$ 191,797.00	\$ 232,080
State-level (33%)		\$ 19,841	\$ -	\$ 19,841
<b>b. LOCAL AREA ADULT PROGRAMS</b>	85%	\$ 1,703,502	\$ 8,110,828	\$ 9,814,330
<b>SEC. 128(b)(2) YOUTH ALLOTMENT</b>				
a. GOVERNOR's SET ASIDES:				
	15%	\$ 1,777,698	\$ -	\$ 11,777,698
Administration (5%)		\$ 1,312,788	\$ 6,250,534	\$ 7,563,322
Other WIA Activities (7%)		\$ 211,623	\$ 1,007,592	\$ 1,219,215
Non-Awarded Incentive Funds (2%)		\$ 179,091	\$ 852,702	\$ 1,031,793
Incent/Cap. Bldg. (1%)		\$ -	\$ -	\$ -
Local Area Grants (0%)		\$ -	\$ -	\$ -
State-level (100%)		\$ 116,599	\$ -	\$ 116,599
<b>b. LOCAL AREA YOUTH PROGRAMS</b>	85%	\$ 10,011,044	\$ -	\$ 10,011,044
<b>SEC. 133(b)(2)(A) ADULT PROGRAMS</b>				
a. GOVERNOR's SET ASIDES:				
Administration (5%)		\$ 588,884	\$ -	\$ 588,884
Other WIA Activities (7%)		\$ 824,439	\$ -	\$ 824,439
Non-Awarded Incentive Funds (2%)		\$ 236,732	\$ -	\$ 236,732
Incent/Cap. Bldg. (1%)		\$ 116,599	\$ -	\$ 116,599
Local Area Grants (0%)		\$ -	\$ -	\$ -
State-level (100%)		\$ 116,599	\$ -	\$ 116,599
<b>b. LOCAL AREA YOUTH PROGRAMS</b>	85%	\$ 10,011,044	\$ -	\$ 10,011,044
<b>SEC. 128(b)(2) YOUTH ALLOTMENT</b>				
a. GOVERNOR's SET ASIDES:				
Administration (5%)		\$ 7,752,992	\$ -	\$ 7,752,992
Other WIA Activities (7%)		\$ 1,210,976	\$ -	\$ 1,210,976
Non-Awarded Incentive Funds (2%)		\$ 1,047,076	\$ -	\$ 1,047,076
Incent/Cap. Bldg. (1%)		\$ -	\$ -	\$ -
Local Area Grants (0%)		\$ -	\$ -	\$ -
State-level (100%)		\$ 1,047,076	\$ -	\$ 1,047,076

LOCAL AREA PLANNING ALLOCATION  
 FOR PROGRAM YEAR 2010  
 (July 1, 2010-June 30, 2011)

		PY 2010 Allotment	FY 2011 Allotment	Full PY Allotment
SEC. 133(b)(2)(B) DISLOCATED WORKERS		\$ 4,833,455	\$ 12,814,716	\$ 17,648,171
a. RAPID RESPONSE PROGRAMS	5%	\$ 241,672	\$ 623,808	\$ 865,480
b. GOVERNOR'S SET ASIDES:	15%	\$ 725,018	\$ 1,922,204	\$ 2,647,222
Administration (5%)		\$ 241,672	\$ 642,019	\$ 883,691
Other WIA Activities (7%)		\$ 365,500.35	\$ 944,184	\$ 1,309,684.35
Incent/Cap. Bldg. (3%)		\$ 117,845.65	\$ 336,001	\$ 453,846.65
Local Area Grants (67%)		\$ 97,152	\$ 258,001	\$ 355,153
State-level (33%)		\$ 20,693.65	\$ 78,000	\$ 98,693.65
c. LOCAL AREA D.W. PROGRAMS	80%	\$ 3,866,765	\$ 10,268,704	\$ 14,135,469
AWIA		\$ 3,101,542	\$ 8,512,009.86	\$ 11,613,551.86
Jefferson		\$ 545,626	\$ 1,154,027.59	\$ 1,699,653.59
Mobile		\$ 219,597	\$ 602,666.55	\$ 822,263.55
TOTAL PROGRAM YEAR ALLOTMENT		\$ 18,615,272	\$ 22,337,782	\$ 40,953,054
5 POOLED SET ASIDES				
RAPID RESPONSE PROGRAMS	5%	\$ 241,672	\$ 623,808	\$ 865,480
Administration		\$ 930,761	\$ 1,119,126	\$ 2,049,887
Other WIA Activities		\$ 1,330,227.35	\$ 1,687,518	\$ 3,017,745.35
Non-Awarded Incentive Funds		\$ 236,732	\$ -	\$ 236,732
Incentive Awards/Capacity Building		\$ 294,568.65	\$ 527,798	\$ 822,366.65
Local Area grants		\$ 137,435	\$ 449,798	\$ 587,233
AWIA		\$ 62,333	\$ 204,261	\$ 266,594
Jefferson		\$ 45,888	\$ 167,955	\$ 213,843
Mobile		\$ 29,214	\$ 77,582	\$ 106,796
State-level activities		\$ 157,133.65	\$ 78,000	\$ 235,133.65

PY2010 / FY2011 Local Area Incentive Awards by CFDA / Funding Category

**AWIA**

Fund Source	PY 2010	FY 2011	Total
Adult	\$18,392	\$87,569	\$105,961
Youth	\$0	\$0	\$0
Dislocated Worker	\$43,941	\$116,692	\$160,633
	<u>\$62,333</u>	<u>\$204,261</u>	<u>\$266,594</u>

**Jefferson**

Fund Source	PY 2010	FY 2011	Total
Adult	\$21,891	\$104,228	\$126,119
Youth	\$0	\$0	\$0
Dislocated Worker	\$23,997	\$63,727	\$87,724
	<u>\$45,888</u>	<u>\$167,955</u>	<u>\$213,843</u>

**Mobile**

Fund Source	PY 2010	FY 2011	Total
Adult	\$0	\$0	\$0
Youth	\$0	\$0	\$0
Dislocated Worker	\$29,214	\$77,582	\$106,796
	<u>\$29,214</u>	<u>\$77,582</u>	<u>\$106,796</u>

**Local Areas' Summary Totals**

Adult	\$40,283	\$191,797	\$232,080
Youth	\$0	\$0	\$0
Dislocated Worker	\$97,152	\$258,001	\$355,153
	<u>\$137,435</u>	<u>\$449,798</u>	<u>\$587,233</u>

### State Level Reallocation Calculation

Funds Subject to Reallocation	Amount Retained at State Level	Balance Subject to Reallocation to LWIAs
\$ 294,955.41	\$0.00	\$ 294,955.41

### LWIA Reallocation Calculation

LWIA	Original FY08 D. W. Allocation Amount*	Indices*	Funds Subject to Reallocation	LWIAs Share of Reallocation
AWIA	\$8,236,551.00	0.9339	\$294,955.41	\$275,458.86
Mobile	\$583,170.00	0.0661	\$294,955.41	\$19,496.55
<b>Total</b>	<b>\$8,819,721.00</b>	<b>1.0000</b>	<b>\$294,955.41</b>	<b>\$294,955.41</b>

\*This is calculated by dividing the individual LWIA allocation amount by the sum of the two LWIA allocations.