

Alabama Workforce Investment System

**Workforce Development Division
401 Adams Avenue
Post Office Box 5690
Montgomery, Alabama 36103-5690**

March 5, 2015

GOVERNOR'S WORKFORCE DEVELOPMENT DIRECTIVE NO. PY 2013-11, Change 6

SUBJECT: Program Year 2014/Fiscal Year 2015 WIA Adult and Dislocated Worker Allocations – Award of funds withheld in anticipation of rescission

1. **Purpose.** This transmits the Grant Modification/Notice of Obligation Number 3 for Grant Number AA=25338-14-55-A-1 from the United States Department of Labor dated February 9, 2015 and effective January 1, 2015 to reverse previously withheld funds by the granting agency.
2. **Discussion.** This Grant Modification re-awards grant funds previously withheld by the USDOL in anticipation of rescission. The total amount re-awarded is \$5,229.00 in Fiscal Year 2015 Adult funds and \$5,533.00 in Fiscal Year 2015 Dislocated Worker funds. The State notified each Local Area of the additional awards. Each Local Area opted not to receive their allocated portion of these funds as the time and effort to modify their Local Area plans exceed the benefit of additional funding. Therefore, the State will retain the additional funds to operate statewide activities.
3. **Action.** Please retain this Directive and Attachments for future
4. **Contact.** Any questions may be referred to Melody Koorangi, State Program Integrity Section Supervisor, at (334) 242-5175 or melody.koorangi@adeca.alabama.gov.



Steve Walkley, Division Director/Date
Workforce Development Division

Attachments:

Local Area Planning Allocation
PY2013-11, Change 6, Attachment 1

**LOCAL AREA PLANNING ALLOCATION
FOR PROGRAM YEAR 2014
(July 1, 2014 - June 30, 2015)**

	PY 2014 Allotment	FY 2015 Allotment	Full PY Allotment
SEC. 133(b)(2)(A) ADULT PROGRAMS			
Funding Increase per USDOL	\$716,940.00	\$9,405,788.00	\$10,122,728.00
	\$716,940.00	\$5,229.00	\$5,229.00
		\$9,411,017.00	\$10,127,957.00
Transition Setaside for WIOA			
Local Area Transition Setaside 1/2 of 2%	\$14,338.80	\$188,115.76	\$202,454.56
	\$7,169.40	\$94,057.88	\$101,227.28
Total Adult Allocation for WIA	\$702,601.20	\$9,217,672.24	\$9,920,273.44
Funding increase retained @ State Level	\$702,601.20	\$5,229.00	\$5,229.00
		\$9,222,901.24	\$9,925,502.44
a. Governor's Setaside			
Funding increase from USDOL	\$61,477.60	\$806,546.32	\$868,023.92
	\$61,477.60	\$5,229.00	\$5,229.00
		\$811,775.32	\$873,252.92
Administration (5%)			
Other WIA Activities (2.75%)	\$35,130.06	\$461,145.06	\$496,275.12
Incent/Cap. Bldg. (1%)	\$19,321.53	\$258,453.54	\$277,775.07
Local Area Grants (1%)	\$7,026.01	\$92,176.72	\$99,202.73
	\$7,026.01	\$92,176.72	\$99,202.73
	\$0.00	\$0.00	\$0.00
Transition to WIOA (50% of State 2%)	\$7,169.40	\$94,057.88	\$101,227.28
b. LOCAL AREA ADULT PROGRAMS	\$641,123.60	\$8,411,125.92	\$9,052,249.52
AWIA	\$499,001.62	\$6,546,577.63	\$7,045,579.25
Jefferson	\$71,430.78	\$937,125.54	\$1,008,556.32
Mobile	\$70,691.20	\$927,422.75	\$998,113.95

**LOCAL AREA PLANNING ALLOCATION
 FOR PROGRAM YEAR 2014
 (July 1, 2014 - June 30, 2015)**

		PY 2014 Allotment	FY 2015 Allotment	Full PY Allotment
SEC. 128(b)(2) YOUTH ALLOTMENT				
<i>Transition Setaside for WIOA</i>	2%	\$10,363,134.00	\$0.00	\$10,363,134.00
Local Area Transition Setaside 1/2 of 2%		\$207,262.68	\$0.00	\$207,262.68
		\$103,631.34		\$103,631.34
Total Youth Allocation for WIA		\$10,155,871.32	\$0.00	\$10,155,871.32
a. Governor's Setaside				
Administration (5%)	8.75%	\$888,638.74	\$0.00	\$888,638.74
Other WIA Activities (2.75%)		\$507,793.56	\$0.00	\$507,793.56
Incent/Cap. Bldng. (1%)		\$279,286.47	\$0.00	\$279,286.47
Local Area Grants (1%)		\$101,558.71	\$0.00	\$101,558.71
State-level (0.00%)		\$0.00	\$0.00	\$0.00
b. LOCAL AREA YOUTH PROGRAMS	91.25%	\$9,267,232.58	\$0.00	\$9,267,232.58
AWIA				
Jefferson		\$7,303,094.98	\$0.00	\$7,303,094.98
Mobile		\$1,004,464.96	\$0.00	\$1,004,464.96
		\$959,672.64	\$0.00	\$959,672.64

**LOCAL AREA PLANNING ALLOCATION
FOR PROGRAM YEAR 2014
(July 1, 2014 - June 30, 2015)**

	PY 2014 Allotment	FY 2015 Allotment	Full PY Allotment
SEC. 133(b)(2)(B) DISLOCATED WORKERS			
	\$1,644,373.00	\$9,949,570.00	\$11,593,943.00
		\$5,533.00	\$5,533.00
	\$1,644,373.00	\$9,955,103.00	\$11,599,476.00
Transition Setaside for WIOA	\$32,887.46	\$198,991.40	\$231,878.86
Local Area Transition Setaside 1/2 of 2%	\$16,443.73	\$99,495.70	\$115,939.43
Total Dislocated Worker Allocation for WIA	\$1,611,485.54	\$9,750,578.60	\$11,362,064.14
Increase in Funding	\$1,611,485.54	\$5,533.00	\$5,533.00
		\$9,756,111.60	\$11,367,597.14
a. RAPID RESPONSE PROGRAMS	\$32,229.71	\$195,011.57	\$227,241.28
b. GOVERNOR'S SET ASIDES:			
	\$141,004.98	\$853,175.62	\$994,180.60
		\$5,533.00	\$5,533.00
		\$858,708.62	\$999,713.60
Administration (5%)	\$80,574.27	\$487,805.58	\$568,379.85
Other WIA Activities (2.75%)	\$44,315.85	\$273,397.26	\$317,713.11
Incent/Cap. Blding. (1%)	\$16,114.86	\$97,505.78	\$113,620.64
Local Area Grants (1%)	\$16,114.86	\$97,505.78	\$113,620.64
State-level (0.00%)	\$0.00	\$0.00	\$0.00
c. LOCAL AREA D.W. PROGRAMS	\$1,438,250.85	\$8,702,391.41	\$10,140,642.26
AWIA	\$1,000,434.93	\$6,053,308.65	\$7,053,743.58
Jefferson	\$275,043.04	\$1,664,196.59	\$1,939,239.63
Mobile	\$162,772.88	\$984,886.17	\$1,147,659.05

**LOCAL AREA PLANNING ALLOCATION
FOR PROGRAM YEAR 2014
(July 1, 2014 - June 30, 2015)**

	PY 2014 Allotment	FY 2015 Allotment	Full PY Allotment
TOTAL PROGRAM YEAR ALLOTMENT	\$12,724,447.00	\$19,366,120.00	\$32,090,567.00
POOLED SET ASIDES			
RAPID RESPONSE PROGRAMS	2%	\$195,011.57	\$227,241.28
Administration (5%)	\$623,497.89	\$948,950.64	\$1,572,448.53
Other WIA Activities (2.75%)	\$342,923.85	\$531,850.80	\$874,774.64
Incentive Awards/Capacity Building (1%)	\$124,699.58	\$189,682.50	\$314,382.08
Local Area grants (0.00%)	\$124,699.58	\$189,682.50	\$314,382.08
AWIA	N/A	N/A	N/A
Jefferson	N/A	N/A	N/A
Mobile	N/A	N/A	N/A
State-level activities (0.00%)	\$0.00	\$0.00	\$0.00
Transition to WIOA setaside	2%	\$387,107.16	\$641,596.10
Local Areas	\$254,488.94	\$387,107.16	\$641,596.10
AWIA	\$97,676.95	\$142,415.82	\$240,092.77
Jefferson	\$15,489.47	\$29,506.46	\$44,995.93
Mobile	\$14,078.05	\$21,631.30	\$35,709.35

LOCAL AREA PLANNING ALLOCATION
FOR PROGRAM YEAR 2014
(July 1, 2014 - June 30, 2015)

	PY 2014 Allotment	FY 2015 Allotment	Full PY Allotment
AWIA			
ADULT	\$499,001.62	\$6,546,577.63	\$7,045,579.25
YOUTH	\$7,303,094.98	\$0.00	\$7,303,094.98
DISLOCATED WORKER	\$1,000,434.93	\$6,053,308.65	\$7,053,743.58
WIOA Transition	\$97,676.95	\$142,415.82	\$240,092.77
TOTAL LOCAL AREA FUNDS	\$8,900,208.48	\$12,742,302.10	\$21,642,510.58
JEFFERSON			
ADULT	\$71,430.78	\$937,125.54	\$1,008,556.32
YOUTH	\$1,004,464.96	\$0.00	\$1,004,464.96
DISLOCATED WORKER	\$275,043.04	\$1,664,196.59	\$1,939,239.63
WIOA Transition	\$15,489.47	\$29,506.46	\$44,995.93
TOTAL LOCAL AREA FUNDS	\$1,366,428.25	\$2,630,828.59	\$3,997,256.84
MOBILE			
ADULT	\$70,691.20	\$927,422.75	\$998,113.95
YOUTH	\$959,672.64	\$0.00	\$959,672.64
DISLOCATED WORKER	\$162,772.88	\$984,886.17	\$1,147,659.05
WIOA Transition	\$14,078.05	\$21,631.30	\$35,709.35
TOTAL LOCAL AREA FUNDS	\$1,207,214.77	\$1,933,940.22	\$3,141,154.99
STATE-LEVEL			
ADULT	\$61,477.60	\$811,775.32	\$873,252.92
YOUTH	\$888,638.74	\$0.00	\$888,638.74
DISLOCATED WORKER	\$141,004.98	\$858,708.62	\$999,713.60
RAPID RESPONSE	\$32,229.71	\$195,011.57	\$227,241.28
WIOA Transition	\$127,244.47	\$193,553.58	\$320,798.05
TOTAL STATE-LEVEL FUNDS	\$1,250,595.50	\$2,059,049.09	\$3,309,644.59
TOTAL ALL FUNDS	\$12,724,447.00	\$19,366,120.00	\$32,090,567.00

Grant Modification / Notice of Obligation

U.S. DEPARTMENT OF LABOR / EMPLOYMENT AND TRAINING ADMINISTRATION

GRANT MODIFICATION		No. 3	PROJECT: WIA Youth/Adult/Dislocated Workers Formula Combined	
GRANT NUMBER: AA-25338-14-55-A-1	EIN: 636000619	EFFECTIVE DATE: 01/01/2015	PAGE 1	
GRANTEE: AL DEPT OF ECONOMIC AND COMMUNITY AFFAIRS 401 ADAMS AVENUE P.O. BOX 5690 MONTGOMERY, ALABAMA 36103-5690		ISSUED BY U.S. DEPARTMENT OF LABOR / ETA DIVISION OF FEDERAL ASSISTANCE 200 CONSTITUTION AVENUE NW - ROOM N-4716 WASHINGTON, DC 20210		

Action:

Obligation of PY 2014 (FY 15 Advance) Adult and Dislocated Worker funds previously withheld in anticipation of rescission.

For the portion of these grant funds awarded after December 26, 2014, the Grantee must follow the Office of Management and Budgets (OMBs) final guidance on Administrative Requirements, Cost Principles, and Audit Requirements, 2 Code of Federal Regulations Part 200, including the Department of Labors exceptions codified at 2 CFR Part 2900, which supersede the requirements from OMB Circulars A-21, A-87, A-110, and A-122; Circulars A-89, A-102, and A-133; 29 CFR Parts 95, 96, 97 and 99, and the guidance in Circular A-50 on Single Audit Act follow-up, unless different provisions are required by statute or approved by OMB.

The Grantee has the option to request a modification to this grant award to enable these provisions to apply to the entire grant award.

YEAR / CFDA PROGRAM ACCOUNT ID	Mod 0-2 CURRENT LEVEL	Mod 3 MODIFICATION	NEW LEVEL	PMS DOC #
FY 15 / 17.258 WIA ADULT ACTIVITIES (ADVANCE) <small>15-1830-2015-0501741515BD20150174000115SAD081A0000ACW00ACW00-AR0200-410023-ETA-DEFAULT TASK-</small>	\$9,405,788.00	\$5,229.00	\$9,411,017.00	AA25338VG0
PY 14 / 17.258 WIA ADULT ACTIVITIES - STATES <small>14-1830-2014-0501741415BD20140174000114SAD01DA0000ACW00ACW00-AR0200-410023-ETA-DEFAULT TASK-</small>	\$716,940.00	\$0.00	\$716,940.00	AA25338TA0
PY 14 / 17.278 WIA DISLOCATED WORKERS - FORMULA - STATES <small>14-1830-2014-0501741415BD20140174000314SAD02DA0000ACW00ACW00-AR0200-410023-ETA-DEFAULT TASK-</small>	\$1,644,373.00	\$0.00	\$1,644,373.00	AA25338TC0
FY 15 / 17.278 WIA DISLOCATED WORKERS - FORMULA - STATES (ADVANCE) <small>15-1830-2015-0501741515BD20150174000315SDW081A0000ACW00ACW00-AR0200-410023-ETA-DEFAULT TASK-</small>	\$9,949,570.00	\$5,533.00	\$9,955,103.00	AA25338VI0
PY 14 / 17.259 WIA YOUTH FORMULA GRANTS - STATES <small>14-1830-2014-0501741415BD20140174000514SYF000A0000ACW00ACW00-AR0200-410023-ETA-DEFAULT TASK-</small>	\$10,363,134.00	\$0.00	\$10,363,134.00	AA25338TE0
TOTAL FUND AVAILABILITY	\$32,076,805.00	\$10,762.00	\$32,090,567.00	

GRANT MODIFICATION		No. 3	PROJECT: WIA Youth/Adult/Dislocated Workers Formula Combined	
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GRANTEE: AL DEPT OF ECONOMIC AND COMMUNITY AFFAIRS 401 ADAMS AVENUE P.O. BOX 5690 MONTGOMERY, ALABAMA 36103-5690		ISSUED BY U.S. DEPARTMENT OF LABOR / ETA DIVISION OF FEDERAL ASSISTANCE 200 CONSTITUTION AVENUE NW - ROOM N-4716 WASHINGTON, DC 20210		

Except as modified, all terms and conditions of said grant /agreement remain unchanged and in full effect.

Approved
by



THOMAS C MARTIN

Date Signed **02/09/2015**

Grant Officer